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TRANS	SMITTAL F		Application No.	10/561369		
IRANS	DIVILLIAL F	ORIVI	Filing Date	December 14, 2005		
(to be used for all	correspondence aft	ter initial filing)	First Named Inventor	Richard SEE		
	•		Art Unit			
			Examiner Name			
Total Number of F	Pages in This Submission	on 11	Attorney Docket Number	5926P044		
	ENCLOSURES (check all that apply)					
Fee Transmittal	Form	Drawing(s))	After Allowance Communication to TC		
Fee Attact	ned	Licensing-n	elated Papers	Appeal Communication to Board of Appeals and Interferences		
Amendment / Re	esponse	Petition		Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)		
After Fina	l /declaration(s)	Petition to O Provisional	Convert a Application	Proprietary Information		
Extension of Tim	, ,	Power of Ai Change of	ttorney, Revocation Correspondence Address	Status Letter		
		Terminal D	Disclaimer	Other Enclosure(s) (please identify below):		
Information Disclosure Statement Request for		Request for	Refund	Search Report (11-14-03); International Search Report		
PTO/SB/0		CD, Number		(9-21-2004); 4 prior art referencese; return postcard		
Document(s)	T Honey	Lands	cape Table on CD			
Response to Mis Incomplete Appli	ssing Parts/ cation	Remarks				
Basic	Filing Fee	Remarks				
Decla	aration/POA	<u> </u>				
Response Parts und 1.52 or 1.5	e to Missing er 37 CFR 53					
	SIGNATUR	E OF APPLICA	NT, ATTORNEY, OR AC	SENT		
Firm or	Eric S. Hyman	, Reg. No. 30	,139	-		
Individual name	BLAKELY, S	SOKOLOFF,	TAYLOR & ZAFI	MAN LLP		
Signature		18				
Date			9 10	5/01		
	CERTIF	ICATE OF MAIL	ING/TRANSMISSION			
	correspondence is bein	g deposited with th	e United States Postal Serv	ce on the date shown below with sufficient 450, Alexandria, VA 22313-1450.		
Typed or printed na	ame Vi Hoang					
Signature	E			Date /0/5/06		



FEE TRANSMITTAL for FY 2005 Patent fees are subject to annual revision.		Complete if Known		
		Application Number	10/561369	
		Filing Date	December 14, 2005	
		First Named Inventor	Richard SEE	
Applicant claims small entity status. See 37 CFR 1.27.		Examiner Name		
		Art Unit		
TOTAL AMOUNT OF PAYMENT	(\$)	Attorney Docket No.	5926P044	

METHOD	OF PAY	MENT (c	heck all	that apply)			
Check	Credit ca	ard 🔲 M	loney Or	der None Other (please identify):			
Deposit A	Deposit Account Deposit Account Number: 02-2666 Deposit Account Name: Blakely, Sokoloff, Taylor & Zafman LLP						
☐ Cha X Cha	arge fee(s) arge any a	indicated	below fee(s) or	ount, the Director is hereby authorized to: (check all that apply) Charge fee(s) indicated below, except for the sunderpayment of fee(s) Credit any overpayments 1.18 and 1.20.	filing fee		
FEE CALCUI	LATION						
Large Er	ntity	Small	Entity				
Fee	Fee	Fee	Fee	5 B	-		
Code	(\$)	Code	(\$)	Fee Description	Fee Paid		
1051	130	2051	65	Surcharge - late filing fee or oath			
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet.			
2053	130	2053	130	Non-English specification			
1251	120	2251	60	Extension for reply within first month			
1252	450	2252	225	Extension for reply within second month			
1253	1,020	2253	510	Extension for reply within third month			
1254	1,590	2254	795	Extension for reply within fourth month			
1255	2,160	2255	1,080	Extension for reply within fifth month			
1401	500	2401	250	Notice of Appeal			
1402	500	2402		Filing a brief in support of an appeal			
1403	1,000	2403	500	Request for oral hearing			
1451	1,510	2451	1,510	Petition to institute a public use proceeding			
1460	130	2460		Petitions to the Commissioner			
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)			
1806	180	1806	180	Submission of Information Disclosure Stmt	,		
1809	790	1809	395	Filing a submission after final rejection (37 CFR § 1.129(a))			
1810	790	2810	395	For each additional invention to be examined (37 CFR § 1.129(b))			
Other f	ee (spe	cify) _					
	• •			SUBTOTAL (2) (\$)			

SUBMITTED BY			Complete (if applicable)			plete (if applicable)
Name (Print/Type)	Eric S. Hyman		Registration No. (Attorney/Agent)	30,139	Telephone	(310) 207-3800
Signature		Enth			Date	10(5106

Based on PTO/SB/17 (12-04) as modified by Blakely, Solokoff, Taylor & Zafman (w/) 12/15/2004. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

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Docket No.: 5926P044

THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of:

RICHARD SEE

Application No.: 10/561369

Filed: December 14, 2005

For: ROTARY COMPRESSOR AND

EXPANDER, AND ROTARY ENGINE

USING THE SAME

Art Group:

Examiner:

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

In accordance with the duty of disclosure, enclosed is a copy of IDS Citation Form PTO/SB/08 or PTO-1449, together with copies of the documents cited on that form, except for copies not required to be submitted (e.g., copies of U.S. patents and U.S. published patent applications need not be enclosed). This IDS and IDS Citation Form are being submitted before the mailing of a first Office Action. It is respectfully requested that the cited references be considered and that the enclosed copy of PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

-1- 5926P044

The references were cited in Search Reports dated November 14, 2003 and September 21, 2004 (copies enclosed herewith).

The submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made in the subject application and is not to be construed as an admission that the information cited in this statement is material patentability.

Please charge any additional fee due to Deposit Account 02-2666. A duplicate copy of the Fee Transmittal (PTO/SB/17) is enclosed for this purpose.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Eric Hyman Reg. No. 30,13

CERTIFICATE OF MAILING

12400 Wilshire Boulevard, Seventh Floor Los Angeles, California 90025 (310) 207-3800 I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on:

Vi Hoang

Date



Substitute for form 1449A SUPERINTENT BY APPLICANT

(use as many sheets as necessary)

Sheet 1 of 2

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		Complete if Known	
1	Application Number	10/561369	
	Filing Date	December 14, 2005	
	First Named Inventor	Richard SEE	
	Art Unit		
_	Examiner Name		
	Attorney Docket Number	5926P044	

	U.S. PATENT DOCUMENTS								
Examiner Initials*	Cite No.1	Document Number Number - Kind Code ² (if known)	Publication Date or Issue Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear				
		US-4,558,669	12-17-1985	Kemp					
		US-3,012,551	12-12-1961	Renshaw					
		US-6,257,195 B1	07-10-2001	Vanmoor					
		US-3,632,239 A	01-04-1972	Zimmern					
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	FOREIGN PATENT DOCUMENTS						
Examiner	Cite	Foreign Patent Document	B 15		Pages, Columns, Lines,	76	
Initiats*	No.¹	Country Code ³ - Number ⁴ - Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear		
		FR 1 600 666 A	07-27-1970	Jeandel Ferdinand			
		GB 1,068,067 A	05-10-1967	MARCEL LOUIS PANIE DUJAC			
		GB 1364638 A	08-21-1974	Jeandel Ferdinand			
		DE 320038 C	05-21-1920	Emil Ludwig		<u></u>	
I							

Examiner	Date	
Signature	Consid	dered
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*Examiner. Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁵Applicant is to place a check mark here if English language Translation is attached.

Based on PTO/SB/08A (08-03) as modified by Blakely, Solokoff, Taylor & Zafman (wlr) 08/11/2003.

Substitute for form 1449A/PTO

Cite

No.1

Examiner

Initials*

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet	2	of	2
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	Complete if Known	
Application Number	10/561369	
Filing Date	December 14, 2005	
First Named Inventor	Richard SEE	
Art Unit		
Examiner Name		
Attorney Docket Number	5926P044	

}		
Examiner	Date	
Signature	Date Considered	

NON PATENT LITERATURE DOCUMENTS

Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.

^{*}Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

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